

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

Criminal Minutes

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Date: September 16, 2024

Judge: Hon. James Donato

Court Reporter: Debra Pas

Time: 20 Minutes

Case No. **3:22-cr-00227-JD-1**

Case Name **USA v. Shaukat Shamim**

Attorney(s) for Government: David Rizk

Attorney(s) for Defendant(s): Noah Stern

Deputy Clerk: Jenny Galang

PROCEEDINGS

Change of Plea Hearing -- Held

NOTES AND ORDERS

Defendant pleads guilty to Count Four of the Indictment, for a violation of 15 U.S.C. §§ 78j(b), 78ff, and 17 C.F.R. § 240.10b-5 (Securities Fraud). The Court accepts defendant's plea as knowing and voluntary and adjudges defendant guilty of the charged offense.

A sentencing hearing is set for December 16, 2024, at 10:30 a.m. in Courtroom 11. The pretrial conference set for September 26, 2024, and the jury trial set for October 15, 2024, are vacated.